



**Adept** Financial Planning

# Financial Adviser Profile

## Overview

Glenn Baker is the Principal and Founder of Adept Financial Planning Pty Ltd, located in Brisbane's inner city suburb of Herston. His mission, and indeed that of Adept, is to uncomplicate clients' lives, by being their professional financial adviser. His goal is to increase his client's financial capacity, ensuring their resources are being used to their potential.

Glenn has been working in the finance industry since 1998, and commenced being a Financial Planner in January 2005 at Westpac Premium Financial Services, where he was a Senior Financial Planner. In 2010, Glenn left Westpac to be a self-employed Financial Planner, and in 2012 Glenn founded Adept Financial Planning Pty Ltd.

Glenn Baker is a Sub-Authorised Representative of Adept Financial Planning Pty Ltd, Corporate Authorised Representative No. 431619. Authorised Representative No. 384321.

## Qualifications

Glenn is a Certified Financial Planner®. He also holds a Bachelor of Commerce (double major in Accounting and Finance) and a Diploma of Financial Planning; and meets the competency requirements under ASICs Regulatory Guide RG 146.

## Professional Memberships

Glenn is a member of the Financial Advice Association Australia (FAAA) and abides by their code of professional conduct and ethics.

## Authorisations

Glenn is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit & Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Self Managed Superannuation Funds
- Securities
- Standard Margin Lending Facility.



**Glenn Baker**

**Adept Financial Planning Pty Ltd**

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## Adept Financial Planning Pty Ltd - Advice Fees and Charges

Glenn will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Glenn's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Glenn provides the option of ongoing reporting and advisory services. This fee for this service is up to 1.5% per annum of the value of your holdings, incl. GST. You will be notified of the cost involved prior to the commencement of any ongoing services.

Adept Financial Planning Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Glenn is a Director of Adept Financial Planning Pty Ltd and will receive a salary/benefit from this company.

## Other Benefits Glenn May Receive

From time to time Glenn may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

## Personal Profile

Glenn is the husband to Emma, who met each other within the finance industry, and married in 2012. They have 5 children, who keep them very busy. Emma has over a decade of experience in the Financial Planning and Stock Broking industry and works in Adept as the Administration Manager, as time permits.

As is the way with many Queenslanders, Glenn enjoys sports and the outdoors. His interests include surfing, climbing and snowboarding; and he is a black-belt in karate, where he enjoys helping teach the children at a local karate club.

In Glenn's personal time, he is actively involved in a number of volunteer duties, including at his local Baptist church where he and his wife are leaders in the 'family ministry'. Glenn and his family live on acreage property and he enjoys organic vegetable gardening.